



# Office of the Kansas Securities Commissioner

*A division of the Kansas Insurance Department*

Ken Selzer, CPA, Commissioner of Insurance  
John Wine, Interim Securities Commissioner

**FOR IMMEDIATE RELEASE:**

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## Price Financial Group and Gregory A. Price Ordered to Cease and Desist

**Topeka, KS--** Kansas Securities Commissioner John Wine has issued a cease and desist order against Price Financial Group and Gregory A. Price for alleged violations of the Kansas Uniform Securities Act (KUSA). Gregory Price was permanently barred from the securities industry in 2007. Price Financial Group operates in the Lawrence area.

The cease and desist order alleges that Price and Price Financial Group violated the KUSA by operating as an unregistered investment adviser and broker-dealer in the state of Kansas dealing in stocks and bonds. On October 3, 2017, the District Court of Douglas County, Kansas, entered an Agreed Journal Entry of Judgment against Price and Price Financial Group in civil court related to Price's involvement with securities.

The Kansas Securities Commissioner urges any individuals who have had dealings with Gregory A. Price and Price Financial Group to contact the Office of the Securities Commissioner at 785-296-3307 or email [ksc@ks.gov](mailto:ksc@ks.gov). The violations listed in the order are allegations at this point in time. Price and Price Financial Group have the opportunity to request a hearing on the matter within 30 days after service of the order.

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*The mission of the Office of the Kansas Securities Commissioner (KSC) is to protect and inform Kansas investors; to promote integrity, fairness, and full disclosure in financial services; and to foster capital formation.*